

8. _____ Assignment Papers (cover sheet & documents(s))

9. _____ a. 37 CFR 3.73(b) Statement (where there is an assignee)
_____ b. Power of Attorney

10. _____ English Translation Document (if applicable)

11. _____ a. Information Disclosure Statement (IDS)/PTO-1449
_____ b. Copies of IDS Citations

12. _____ Preliminary Amendment

13. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

14. X a. Small Entity Statement(s)
b. Statement filed in prior application, Status still proper and desired

15. _____ Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. X Other: Separate Sheet: Certificate of Mailing with Attorney Signature and copy of
 return postcard.

18. **Correspondence Address**
 _____ Customer Number or Bar Code Label _____
 (Insert Customer No. or Attach Bar Code Label here)
 or
☒ Correspondence Address Below

NAME Aloysius T.C. AuYeung, Reg. No. 35,432
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

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Express Mail Label: EL431684495US

FEE TRANSMITTAL

EXPRESS MAIL NO. EL431684495US

Complete if Known:

Application No. Not yet assigned
Filing Date November 30, 1999
First Named Inventor Swain W. Porter
Group Art Unit Not yet assigned
Examiner Name Not yet assigned
Attorney Docket No. 003646.P009

JC542 U.S. PTO
09/452328

11/30/99

METHOD OF PAYMENT (check one)

1. ☒ [X] The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
- Deposit Account Number 02-2666
Deposit Account Name _____
- ☒ [X] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ [] Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.131(b)
2. ☒ X Payment Enclosed
☒ X Check
_____ Money Order
_____ Other

FEE CALCULATION (fees effective 10/01/97)

1. FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
101	760	201	380	Utility application filing fee	380.00
106	310	206	155	Design application filing fee	
107	480	207	240	Plant filing fee	
108	760	208	380	Reissue filing fee	
114	150	214	75	Provisional application filing fee	
SUBTOTAL (1)					\$ 380.00

2. CLAIMS

		Extra		Fee from below		Fee Paid
Total Claims	<u>42</u> - 20 =	<u>22</u>	X	<u>9.00</u>	=	<u>198.00</u>
Independent Claims	<u>8</u> - 3 =	<u>5</u>	X	<u>39.00</u>	=	<u>195.00</u>
Multiple Dependent Claims			X		=	

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
103	18	203	9	Claims in excess of twenty	
102	78	202	39	Independent claims in excess of 3	
104	260	204	130	Multiple dependent claim	
109	78	209	39	Reissue independent claims over original patent	
110	18	210	9	Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					\$ 393.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>		
105	130	205	65	Surcharge - late filing fee or oath	_____
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	_____
139	130	139	130	Non-English specification	_____
147	2,520	147	2,520	For filing a request for reexamination	_____
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	_____
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	_____
115	110	215	55	Extension for response within first month	_____
116	400	216	200	Extension for response within second month	_____
117	870	217	475	Extension for response within third month	_____
118	1,510	218	755	Extension for response within fourth month	_____
128	2,060	228	1,030	Extension for response within fifth month	_____
119	310	219	155	Notice of Appeal	_____
120	310	220	155	Filing a brief in support of an appeal	_____
121	270	221	135	Request for oral hearing	_____
138	1,510	138	1,510	Petition to institute a public use proceeding	_____
140	110	240	55	Petition to revive unavoidably abandoned application	_____
141	1,320	241	660	Petition to revive unintentionally abandoned application	_____
142	1,320	242	660	Utility issue fee (or reissue)	_____
143	450	243	225	Design issue fee	_____
144	670	244	335	Plant issue fee	_____
122	130	122	130	Petitions to the Commissioner	_____
123	50	123	50	Petitions related to provisional applications	_____
126	240	126	240	Submission of Information Disclosure Stmt	_____
581	40	581	40	Recording each patent assignment per property (times number of properties)	_____
146	790	246	395	For filing a submission after final rejection (see 37 CFR 1.129(a))	_____
149	790	249	395	For each additional invention to be examined (see 37 CFR 1.129(a))	_____

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) \$ 0.00

*Reduced by Basic Filing Fee Paid

TOTAL AMOUNT OF PAYMENT (\$)

\$ 773.00

SUBMITTED BY:

Typed or Printed Name: Aloysius T.C. AuYeung

Signature _____

Date _____

Reg. Number 35,432

Deposit Account User ID _____

(complete if applicable)

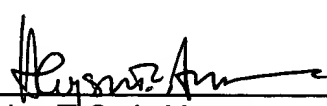
DYNAMIC CONTENT BASED ASSISTED INFORMATION BROWSING

Inventor: Swain W. Porter
Our Reference: 003646.P009

Respectfully submitted,

BLAKELY SOKOLOFF TAYLOR & ZAFMAN, L.L.P.

Date: 11/30, 1999


Aloysius T. C. AuYeung
Reg. No. 35,432

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated below and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on:

November 30, 1999

Date of Deposit

Shannon Moss

Name of Person Mailing Correspondence



Signature

11/30/99
Date

EL431684495US

"Express Mail" mailing label number

Serial/Patent No.: not yet assigned

Filing/Issue Date: 11-30-99

Client: Swain W. Porter

Title: DYNAMIC CONTENT BASED ASSISTED INFORMATION BROWSING

BSTZ File No.: 003646.P009

Atty/Secty Initials: ATA/mjt

Date Mailed: 11-30-99

Docket Due Date:

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- ☐ Amendment/Response (____ pgs.)
- ☐ Appeal Brief (____ pgs.) (in triplicate)
- ☒ Application - Utility (25 pgs., with cover and abstract)
- ☐ Application - Rule 1.53(b) Continuation (____ pgs.)
- ☐ Application - Rule 1.53(b) Divisional (____ pgs.)
- ☐ Application - Rule 1.53(b) CIP (____ pgs.)
- ☐ Application - Rule 1.53(d) CPA Transmittal (____ pgs.)
- ☐ Application - Design (____ pgs.)
- ☐ Application - PCT (____ pgs.)
- ☐ Application - Provisional (____ pgs.)
- ☐ Assignment and Cover Sheet
- ☒ Certificate of Mailing (2 pgs.)
- ☒ Declaration & POA (2 pgs.)
- ☐ Disclosure Docs & Orig & Copy of Inventor's Signed Letter (____ pgs.)
- ☒ Drawings: 8 # of sheets includes 11 figures

- ☒ Express Mail No.: EL431684495US Check No. 179
- ☐ _____ Month(s) Extension of Time Amt: 773⁰⁰
- ☐ Information Disclosure Statement & PTO 1449 (____ pgs.) ☐ Check No. _____
- ☐ Issue Fee Transmittal Amt: _____
- ☐ Notice of Appeal
- ☐ Petition for Extension of Time
- ☐ Petition for _____
- ☒ Postcard
- ☐ Power of Attorney (____ pgs.)
- ☐ Preliminary Amendment (____ pgs.)
- ☐ Reply Brief (____ pgs.)
- ☐ Response to Notice of Missing Parts
- ☒ Small Entity Declaration for Indep. Inventor/Small Business
- ☒ Transmittal Letter, in duplicate
- ☒ Fee Transmittal, in duplicate

Other: Separate Sheet: Cert of Mailing w/ Atty Signature and copy of return Postcard